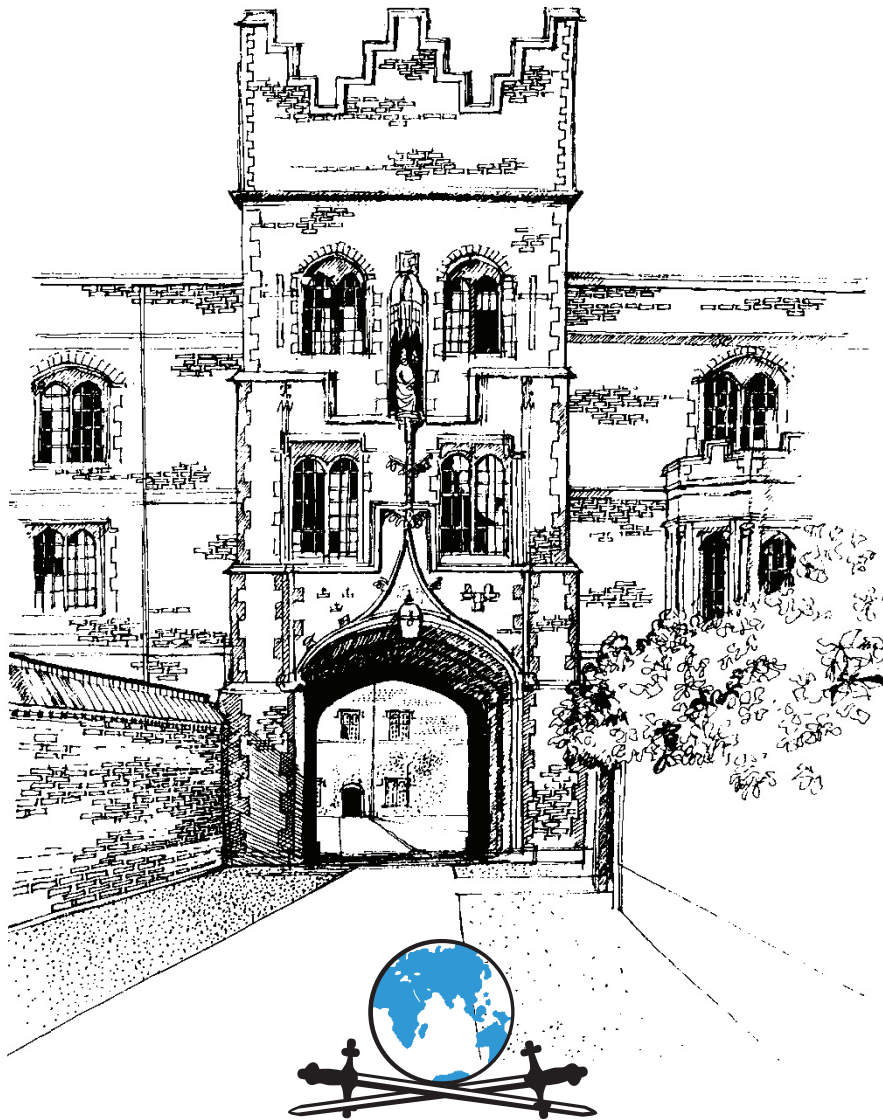


THIRTY-SECOND INTERNATIONAL SYMPOSIUM ON ECONOMIC CRIME

MONDAY 1st SEPTEMBER - SUNDAY 7th SEPTEMBER 2014

JESUS COLLEGE, UNIVERSITY OF CAMBRIDGE

Information – Shield, Sword and Achilles Heel in the fight against economic crime?



CIDOEC



THE INTERNATIONAL ASSOCIATION OF ANTI-CORRUPTION AUTHORITIES

The Organising Institutions

Centre of Development Studies, University of Cambridge
The Centre for International Documentation on Organised and Economic Crime
City of London Police UK, National Lead Force for Fraud and Economic Crime
The Institute of Advanced Legal Studies, University of London
Renmin University, Peoples Republic of China
Cass Business School, City University, City of London
The Society for Advanced Legal Studies
International Association of Anti-Corruption Authorities
The International Chamber of Commerce
International Anti-Corruption Academy
Centre for European and International Financial Law, University of Siena, Italy
The Australian Institute of Criminology
The Centre for Strategic and Global Studies, Russian Academy of Sciences
Nathanson Centre on Transnational Human Rights, Crime and Security, York University, Canada
IS Integrity Group, London School of Economics and Political Science, University of London
The British Institute of Securities Laws
The Paolo Baffi Centre for Monetary and Financial Economics, Università Commerciale Luigi Bocconi, Milan
The Dickinson School of Law, Pennsylvania State University, USA
The Stockholm School of Economics, Sweden
Keio University, Japan
Department of Business Law and Taxation, Monash University, Australia
Saint Louis University, USA
University of the Free State, Republic of South Africa
Center for International Financial Crimes Studies, University of Florida, USA
Hungarian Academy of Sciences
Institute for Legal Studies, Bulgarian Academy of Sciences
Computer Security Group, University of Cambridge
Centre for Criminology, University of Hong Kong
The Graduate School of Arts and Sciences, University of Tokyo
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Faculty of Political Science, Department of European and International Studies
Centre for European and Comparative Studies, University of Palermo
International Compliance Association

In association with: Jesus College, University of Cambridge

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The 32nd Cambridge International Symposium on Economic Crime

Information – Shield, Sword and Achilles Heel – in the fight against economic crime?

This year the Cambridge International Symposium on Economic Crime will celebrate its thirtieth-second year. It is a testament to the Symposium's unique blend of relevance, practicality and topicality that over the years it has enjoyed the support of so many institutions and experts from around the world. It also attests to the deep concern of governments, financial and other institutions and their professional advisers as to the extent of the risks to stability and security thrown up by economic crime and in particular organised crime. The annual Cambridge Symposium is a truly unique event which over the years has made an unrivalled contribution to understanding the real issues involved in preventing and controlling economically motivated serious crime. As a unique respected and trusted international forum and network it has also made an impressive and meaningful contribution to fostering international co-operation and promoting mutual understanding and goodwill.

The Thirty-second symposium will focus on the advantages and vulnerabilities that today's information orientated society presents us with in fighting and protecting ourselves from economic crime. The appropriate and timely use of data can reduce exposure to risk and facilitate effective action against those who seek to exploit through criminal and subversive means the integrity of our institutions and economies. However, the misuse of data and its manipulation and misappropriation can itself expose us to profound risks and dangers. Expert international speakers from around the world will address these issues in plenary sessions with opportunities for interaction and further discussion in a number of specialised practical workshops and think tanks.

While the primary focus of this year's symposium is on the use and misuse of information, as in previous years the programme includes a host of other issues, some more related to the theme than others, which are of pressing concern to those seeking to protect the integrity and stability of financial and business institutions and the wider economy. The more we develop procedures and devices to better disrupt criminal and other subversive activities, the more we shift responsibility which can manifest itself in increased legal and regulatory risk on those who mind other people's wealth in the ordinary course of their business. In the complexities of the modern world getting the balance appropriate let alone fair is not always an easy option. The programme provides a unique opportunity at a very practical level, to share the experiences of over 360 specialist speakers and panellists in the prevention and control of risk to the integrity and stability of the financial system and those who operate within it.

The Symposium brings together in one of the oldest medieval Colleges within the University of Cambridge, ministers, legislators, senior officials, diplomats, judges, regulators, law enforcement, intelligence and security officers, financial intermediaries, bankers, professional advisers, compliance and risk officers and scholars from around the world. Last year the symposium attracted well over 1,600 participants from over 100 countries.

The programme is structured to provide a depth and breadth of opportunity - second to none, for those participating in the programme to become aware not only of existing, but also new threats, and how best to address them. Through the numerous plenary and other workshops and in particular the considerable opportunities to meet colleagues socially, there is a degree of inter-action and collaboration that could only be encountered in an institution that has the highest world reputation for learning and research. The University of Cambridge collaborating with a host of distinguished institutions throughout the world offers a wholly different experience in addressing these fundamentally important issues.

The Cambridge Symposium is not and has never been just a conference. It is organised on a non-profit making basis by some of the world's most respected academic and research institutions with the active involvement and support of numerous governmental and inter-governmental organisations. It was established to promote meaningful international co-operation – in the fight against financial crime. Its record is its best testimonial. Successive symposia have made a real contribution – at all levels, to promoting stability, good governance and the disruption and control of serious crime and terror. Those who are concerned to protect and promote the integrity and wellbeing of their national economy, institution or enterprise – or who are concerned to better understand the risks facing business today, cannot afford to miss this very special event.

Professor Barry A.K. Rider OBE
Founding Director and Co-Chairman,
Jesus College,
Cambridge.

Monday, 1st September 2014

13:00 Registration in the Marquee, Jesus College, Cambridge

18:30 **Cocktails** in the Marquee

Dinner in Hall and Upper Hall, Jesus College

A civic welcome will be extended on behalf of the City of Cambridge by **Counsellor Gerri Bird**, the **Mayor of Cambridge**, after-dinner addresses will be given by **Sir Paul Judge**, Sheriff and Alderman of the City of London, Director of the UK Accreditation Service and Benefactor of the Judge Business School, University of Cambridge, **Mr Alexander Lebedev**, Businessman, newspaper owner and anti-corruption campaigner, **Mr Saul Froomkin QC**, Chairman of the Symposium and the Committee of the Organising Institutions, Chairman of the Centre of International Documentation on Organised Crime Centre, former Attorney General and Solicitor General of Bermuda and Director of Criminal Law, Federal Government of Canada and currently Senior Litigation Counsel, ISIS Law Limited, Bermuda, and **Professor Barry A.K. Rider**, Founder, Director and Co-Chairman of the Symposium, Professorial Fellow, Centre of Development Studies, University of Cambridge, Professor of Comparative Law, Renmin University, PRC, Professor of Law, University of the Free State, South Africa, Director of LLM Programmes, BPP University, UK, Master of the Bench of the Inner Temple and former Director of the Institute of Advanced Legal Studies, University of London and sometime Fellow of Jesus College, Cambridge introduced by **Colonel Robert Murfin DL**, Clerk of the Worshipful Company of Pattenmakers of the City of London

Tuesday, 2nd September 2014

08:00 **Opening Address**

- **The Rt Hon Mr Jeremy Wright QC, MP**, HM Attorney General for England and Wales

Addresses from sponsoring organisations

Chair: **Professor Barry A.K Rider**, Professorial Fellow, Centre of Development Studies, University of Cambridge, UK

- **Dr Ye Feng**, Secretary General, International Association of Anti-Corruption Authorities, Vice President, International Association of Prosecutors and Director General, Supreme People's Procuratorate of the People's Republic of China
- **Mr David Green QC**, Director, Serious Fraud Office of England, Wales and Northern Ireland
- **Mr Stephen Head**, Commander, City of London Police, UK
- **Mr David Toon**, Director, Economic Crime Command, National Crime Agency, UK
- **Professor Peter Nolan**, The Chong Hua Professor in Chinese Development; Director of the Centre of Development Studies, Director of the Chinese Executive Leadership Programme, University of Cambridge and Professorial Fellow, Jesus College, Cambridge

Keynote Addresses

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **His Excellency Sheikh Khalid Al Thani**, Qatar*
- **The Hon Ms Conchita Carpio Morales**, the Ombudsman of the Republic of the Philippines and former Justice of the Supreme Court of the Philippines
- **The Hon Dr Sergio Massa**, Congressman (National Deputy) of Argentina - Candidate for the Presidency of the Republic of Argentina
- **Hon Dr Ali Ahmad**, Chairman, House Committee on Judiciary, House of Representatives, National Assembly of the Federal Republic of Nigeria
- **Ms Jennifer Shasky Calvery**, Director, Financial Crimes Enforcement Network (FinCen), Department of Treasury, USA
- **The Hon Dr Chen-Huan Wu**, Deputy Minister of Justice, Ministry of Justice, Taiwan
- **The Hon Worgu Boms**, Attorney General and Commissioner for Justice, River State, Nigeria
- **The Hon Mrs Judith Jones-Morgan**, Attorney General, St Vincent and the Grenadines

10:45 **Coffee**

11:00 **Keynote Addresses (continued)**

- **Mrs Emily Thornberry MP**, Shadow Attorney General for HM Loyal Opposition, UK
- **Ms Bridget Brennan**, Special Prosecutor for Narcotics, Office of the Special Narcotics Prosecutor, City of New York, USA
- **Mr Rob Wainwright**, Director, Europol, The Hague, The Netherlands
- **Mr Murray Mitchell**, Director, Financial Intelligence Centre, South Africa and Chairman of the Egmont Group of Financial Intelligence Units
- **Mr Martin Kreutner**, Dean and Executive Secretary, The International Anti-Corruption Academy, Austria
- **Mr Francisco Jimenez-Villarejo**, Vice President, Eurojust, The Hague, The Netherlands
- **Mr Makoto Hayashi**, Director General, Criminal Affairs Bureau, Ministry of Justice, Japan

- **Dr Chung-yi Wang**, Director-General, Investigation Bureau, Ministry of Justice, Taiwan

13:00 Lunch in Hall and Upper Hall, Jesus College

14:00 Group Photograph, Jesus College

14:30 Keynote Addresses (continued)

Chair: **Mr Saul M Froomkin QC**, Symposium Chairman

- **The Hon Justice Ibrahim Auta**, Chief Justice, Federal High Court, Nigeria
- **The Hon Mr William Frei**, Chargé de Relations to the European Parliament, Mission of Switzerland to the European Union, Belgium
- **Professor Ching-Chang Yen**, former Minister of Finance, Taiwan and Consultant, Taiwan Financial Services Roundtable (TFSR), Taiwan
- **Mr Yasuhito Oomori**, Secretary-General of the Executive Bureau, Securities and Exchange Surveillance Commission, Japan
- **Mr Sam Koim**, Chairman, PNG Investigation Task-Force Sweep, Papua New Guinea
- **Professor Mads Andenas**, Chairman of the UN Working Group against Arbitrary Detention and the UN Special Rapporteur on Detention, Professor of Law at the Universities of Oslo and Leicester, Senior Research Fellow at the University of Oxford and the IALS, and Master of the Bench of the Inner Temple and former Director of the Norwegian Centre for Human Rights
- **Mr Brian Sapati**, Deputy Director General of the Inter-Governmental Action Group against Money Laundering and Terrorism Financing in West Africa (GIABA), Economic Community of West African States (ECOWAS)
- **Mr Nicholas Hett**, Director of Investigations, European Commission Anti-Fraud Office, Brussels
- **Ms Jennie Granger**, Director General of Enforcement and Compliance, HM Revenue and Customs, UK
- **Dr Cheong-Ann Png**, Office of Anti-Corruption and Integrity (OAI), Asian Development Bank, Manila, Philippines

15:45 Tea

16:00 Session IA: Information and the control of risk – the practical issues

Chair: **Sir Ivan Lawrence QC**, 5 Pump Court, London and Visiting Professor of Law, University of Buckingham, UK, Master of the Bench of the Inner Temple, former Member of Parliament and Chairman, Home Affairs Committee, House of Commons, UK and former Recorder of the Crown Court, England and Wales

- **Mr William Hughes**, former Director-General of the Serious Organised Crime Agency, UK
- **Mr John Moscow**, Partner, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA
- **Mr Alun Milford**, General Counsel, Serious Fraud Office of England, Wales and Northern Ireland
- **Mr Stefan Gannon**, General Counsel, Hong Kong Monetary Authority, Hong Kong SAR, China
- **Mr Sean O'Malley**, Vice President and Deputy Chief Investigator, Enforcement Division, Federal Reserve Bank of New York, USA
- **The Hon Dr Manuel Izura**, Secretary of Security for the City of Vicente Lopez, Province of Buenos Aires, Argentina
- **The Hon Justice A.B. Mohammed**, Judge of the High Court of the Federal Capital Territory, Nigeria
- **Ms Stephanie Jeavons**, Deputy Director, Economic Crime Command, National Crime Agency, UK
- **Mr Andrew Boye-Doe**, Secretary and Director, Bank of Ghana, Ghana
- **Mr P Mukundan**, Director, Commercial Crimes Services, International Chamber of Commerce, UK
- **Mr Peter Wilson**, Research, Information and Communications Unit, Office for Security and Counter Terrorism, Home Office, UK
- **The Hon Mr Martin Ocampo**, Prosecutor General, City of Buenos Aires, Argentina
- **Mr Kenneth Murray**, Head of Forensic Accountancy, Police Scotland, UK
- **The Hon Judge Abdulai Sheikh Fofanah**, Judicial Service, Sierra Leone
- **Mr Wayne Bath**, Manager, Financial Crime Intelligence, CIFAS – The UK's Fraud Prevention Service, UK
- **Dr Massimo Nardo**, Co-ordinator, Organised and Economic Crime, National Financial Intelligence Unit, Banca d'Italia, Italy
- **Lic Zenón Biagosch**, President, Fides Net, Director, Business School, Pontifical Catholic University, Argentina and former Vice President, Central Bank of Argentina, Argentina

16:00 Session IB: Information and the control of risk – the conceptual issues

Chair: **Mr Patrick Maddams**, Sub-Treasurer of the Honourable Society of the Inner Temple

- **Professor Margaret Beare**, Professor of Law and Sociology and former Director, Nathanson Centre on Transnational Human Rights, Crime and Security, Faculty of Law, York University, Toronto, Canada
- **Dr Tomoko Akane**, Director, United Nations Asia and Far East Institute (UNAFEI), Japan
- **Professor Chizu Nakajima**, Co-Director of the Symposium, Professor of Corporate Law and Governance, Faculty of Business and Law, London Metropolitan University, Professor of Comparative Law, BPP University and former Director of the Centre for Financial Regulation and Crime, Cass Business School, City

University

- **Professor John Maher**, Sometime Dean of Dickinson Law School, Pennsylvania State University and former Commissioner of the Pennsylvania Securities Commission, USA
- **Professor Dayanath Jayasuriya**, President's Counsel and former Chairman, Securities and Exchange Commission of Sri Lanka
- **Professor Barry A.K Rider**, Professor of Law, BBP University, UK
- **Professor Russell Smith**, Principal Criminologist and Manager, Global, Economic and Electronic Crime Program, Australian Institute of Criminology, Canberra, Australia
- **Professor Ernesto Savona**, Professor of Criminology, Catholic University of Milan and Director of Transcrime, Research Centre for Transnational Crime, University of Trento, Italy
- **Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa
- **Professor Hans Geiger**, Emeritus Professor of Banking, Swiss Banking Institute, University of Zurich, Switzerland
- **Dr Patrick Hardouin**, Consultant, International Security and Business, Paris and former Assistant Secretary General, NATO, France
- **Professor Mario Serio**, Professor of Comparative Law, University of Palermo, Italy and former Consigliere, Consiglio Nazionale della Magistratura and Consiglio Superiore della Magistratura, Italy
- **Dr Scott Helfstein**, Director of Research, Combating Terrorism Center, Department of Social Sciences, United States Military Academy, West Point, USA
- **Professor Dan Magnusson**, Professor of Law and Society, Jönköping International Business School, Sweden and former Deputy Director of the Swedish Economic Crime Bureau, Sweden

PARALLEL PLENARY WORKSHOP I

Criminal use of online data

Chair: Professor Jonathan Clough, Faculty of Law, Monash University, Australia

Professor Russell Smith, Principal Criminologist and Manager, Global, Economic and Electronic Crime Program, Australian Institute of Criminology, Canberra, Australia

Professor Ian Walden, Professor of Information and Communications Law and Head of the Institute of Computer and Communications Law in the Centre for Commercial Law Studies, Queen Mary University, UK

Professor David S Wall, Professor of Criminology, School of Applied Science, Durham University, UK

Mr Rob McCusker, Director, Centre for Fraud and Financial Crime, Teesside University Business School, UK

18:30 Cocktails in the Marquee hosted by **The Ministry of Justice Investigation Bureau of Taiwan** represented by **Dr Wang Cung-yi**, Director General of the Ministry of Justice Investigation Bureau, Taiwan

Dinner in Hall and Upper Hall, Jesus College generously sponsored by the **Taiwan Stock Exchange Corporation (TWSE)** represented by **The Hon Dr Sush-Der Lee**, Chairman of the TWSE and former Minister of Finance, Taiwan and the **Taiwan Securities Association (TSA)** represented by **Dr Hung-Wen Chien**, Chairman of the TSA

After-dinner Addresses by **The Lord Davidson of Glen Clova QC**, HM Loyal Opposition's Spokesperson for the Law Officers of Scotland and former Advocate General of Scotland, **The Hon Mr Theodore Huckle QC**, HM Counsel General for Wales and **Sir Ivan Lawrence QC**, 5 Pump Court, London and Visiting Professor of Law, University of Buckingham UK, Master of the Bench of the Inner Temple, former Member of Parliament and Chairman, Home Affairs Committee, House of Commons, UK and former Recorder of the Crown Court, England and Wales introduced by **Colonel Robert Murfin DL**, Clerk of the Worshipful Company of Pattenmakers of the City of London, with a vote of thanks proposed by **Dr Rinita Sarker**, Manager, Economic Crime Command, National Crime Agency, UK

Wednesday, 3rd September 2014

07:00

Breakfast Meeting – Think Tank precursor – Organised Crime

Convened by **Dr Frank Madsen**, Von Hugel Institute, St. Edmund's College, Cambridge, Adjunct Professor at Henley-Putnam University at Santa Clara, California, USA and former senior official, General Secretariat, ICPO-Interpol

Professor William Tupman, Professor of Criminal Justice, BPP University and Research Fellow, University of Exeter

Dr Shima Keene, Senior Analyst and Consultant, Thames Valley Police and Director, Security Economics Programme, Institute of Statecraft, UK

08:00

Session II: The Role of Intelligence in Combating modern crime

Chair: **The Lord Davidson of Glen Clova QC**, HM Loyal Opposition's Spokesperson for the Law Officers of Scotland and former Advocate General of Scotland

- **Mr David Johnston**, Head, The National Technical Assistance Centre (NTAC), UK and former Commander, Special Operations, Metropolitan Police, UK

- **The Hon Mr Reginald Rhoda QC**, HM Attorney General, Gibraltar
- **Ms Bridget Brennan**, Special Prosecutor for Narcotics, Office of the Special Narcotics Prosecutor, City of New York, USA
- **Ms Terri Nicholson**, Head, Counter Terrorism Command and National Terrorist Financial Investigation Unit, Metropolitan Police, UK
- **Mr Ian M. Comisky**, Partner, Blank Rome LLP, Philadelphia and former Assistant US Attorney Southern District of Florida, USA
- **Mr Jyoti Trehan**, Inspector General of Police (retired), Indian Police Service
- **Mr Stefan Lind**, Enforcement Officer, Swedish Enforcement Agency, Sweden
- **Professor Leonid Fituni**, Director of Strategic and Global Studies, Russian Academy of Sciences, Moscow
- **Mr Peter O'Doherty**, Acting Detective Superintendent, City of London Police, UK
- **Dr Frank Madsen**, Affiliated Lecturer, Centre for Development Studies, University of Cambridge, Von Hugel Institute, St. Edmund's College, Cambridge, Adjunct Professor at Henley-Putnam University at Santa Clara, California, USA and former senior official, General Secretariat, ICPO-Interpol
- **The Hon Mr Juan Cicciaro**, Judge, Criminal Court of Appeal, Argentina
- **Ms Alice Chan**, Senior Assistant Director of Public Prosecutions, Department of Justice, Hong Kong SAR, China
- **Mr Max Burger-Scheidlin**, Executive Director, International Chamber of Commerce, Austria
- **Dr Justice Walker**, Director, Financial Crime (Sanctions and Bribery), British Bankers Association, UK
- **Mr Robert Axelrod**, Director, Forensic Practice, Deloitte Financial Advisory Services LLP, USA

PARALLEL PLENARY WORKSHOP II

Using information technology for targeting money laundering

Chair: Dr Dionysios Demetis, Lecturer, University of Hull, Business School, UK

Professor Michael Mainelli, Executive Chairman of Z/Yen Group, UK

Mr David Smith, Deputy Commissioner, Information Commissioner's Office, UK

Mr Martin J Rice, former Group Head of AML, Group Bribery Officer and MLRO at Santander Bank and Head of Financial Crime and MLRO at Barclaycard, currently Non-Executive Director Earl Shilton Building Society, UK

Mr Muhammad Al-Abdullah, Research Fellow, Virginia Commonwealth University, USA

10:45 Coffee

11:00 Session III: Protecting Information – from a legal perspective

Chair: **Mr Robert Rhodes QC**, Outer Temple Chambers, Recorder of the Crown Court of England and Wales, and Master of the Bench of the Inner Temple

- **Mr John Jeremie SC**, Head, Faculty of Law, University of the West Indies St Augustine, Trinidad and Tobago and former Attorney General and High Commissioner for Trinidad and Tobago to the United Kingdom
- **Mr John Moscow**, Partner, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA
- **Mr Roger Stewart QC**, 4 New Square, Recorder of the Crown Court of England and Wales and Master of the Bench of the Inner Temple, UK
- **Mr Jaideep Gupta**, Senior Advocate, India
- **Professor Dora Neo**, Associate Professor of Law and Director, Centre for Banking and Financial Law, National University of Singapore
- **Mr Paul Gully-Hart**, Partner, Schellenberg Wittmer, Switzerland
- **Dr Mariano Cuneo Libarona**, Senior Partner, Estudio Cuneo Libarona, Argentina
- **Mr Tom Newkirk**, Partner, Jenner & Block LLP and former Associate Director, Division of Enforcement, Securities and Exchange Commission, USA
- **Mr Stephen Ball**, Partner, KPMG LLP and former General Counsel, Kroll, UK
- **Dr Marcela Garcia**, Legal Advisor, The Criminal Court, Buenos Aires, Argentina
- **Mr Paul Hauser**, Partner, Bryan Cave LLP, UK
- **Sheikh Faizal Ahmad Manjoo**, Head, Islamic Finance Department, Markfield Institute, UK
- **Mr Wilmer Parker III**, Partner, Maloy Jenkins Parker, USA and formerly of the Justice Department, USA
- **Mr K Muralidharan Pillai**, Partner, Rajah & Tann LLP, Singapore

PARALLEL PLENARY WORKSHOP III

Cyber, information and organised crime

Mr Andrew Archibald, Deputy Director, National Cyber Crime Unit, National Crime Agency, UK

Mr Ian Caplan, Acting Deputy Director of the Serious and Organised Crime Pursue, Strategic Centre for Organised Crime, OSCT, Home Office, UK

Ms Hilary Clarkson, Senior Manager, Intelligence Hub, National Crime Agency, UK

12:45

Lunch in Hall and Upper Hall, Jesus College

13:45

Session IV: The misuse and abuse of information

Chair: **Sir Gavin Lightman QC**, Consultant, Asserson & Co, former Judge of the Chancery Division, High Court of Justice of England and Wales and former Master Treasurer of Lincoln's Inn, UK

- **Ms Julie Read**, Director, Serious Fraud Office New Zealand
- **The Hon Dr Sush-Der Lee**, Chairman, Taiwan Stock Exchange, Taiwan Financial Services Roundtable and former Minister of Finance, Taiwan
- **Professor Eisuke Nagatomo**, Waseda Graduate University, Japan, President and CEO, EN Associates Co. Ltd and former Managing Director and Chief Regulatory Officer, Tokyo Stock Exchange, Japan
- **Professor Tjio Hans**, Professor of Law, National University of Singapore
- **Mr Michael Ashe QC SC**, 9 Stone Buildings, London, Recorder of the Crown Court, England and Wales, Co-Chairman of the Symposium and Master of the Bench of the Middle Temple
- **Professor Caroline Bradley**, Professor of Law, School of Law, University of Miami, Florida, USA
- **Professor Rita Cheung**, Assistant Professor, Faculty of Law, Chinese University of Hong Kong
- **Professor Irina Abramova**, Deputy Director, Institute of African and Arab Studies, Russian Academy of Sciences, Moscow, Russia
- **Mr Tyler Hodgson**, Partner, Borden Ladner Gervais LLP and former Chief Legal Officer, Dubai First International, Dubai
- **Ms Niamh Mulholland**, Markets and Stockbroking Supervision Division, Central Bank of Ireland
- **Professor Thomas Hurst**, S.T. Dell Professor of Law, University of Florida, Levin College of Law, USA
- **Professor Wassim Shahin**, Dean and Professor of Business Economics, Lebanese American University, Lebanon
- **Mr John Mair**, Office of the Chief Compliance Officer, The European Bank for Reconstruction and Development UK
- **Professor David Chaikin**, Associate Professor of Law, University of Sydney Business School, Barrister, formerly of the Australian Federal Attorney-General's Department and the Commonwealth Secretariat
- **Dr Miguel Almeyra**, Senior Partner, Almeyra Law Firm Argentina

PARALLEL PLENARY WORKSHOP IV

Limiting the media

Chair: Sir Kenneth Warren, Consultant and former Chairman, Select Committee on Trade and Industry, House of Commons, UK

Mr Chris Blackhurst, Group Content Director and former Editor, The Independent, UK

Mr Stephen Grey, Special Correspondent, Reuters Enterprise Team, UK

Mr Nigel Dudley, Journalist and Editor of Quantum, UK and Qatar

Mr Michael Ricks, Managing Director, Enquire International Ltd, UK

Ms Margarita de Tablante, Journalist, Venezuela

Mr Paul Halloran, Consultant UK

Ms Elena Egwhary, Global Witness, UK

16:00

Tea

PLENARY WORKSHOP V – 16:15 to 17:15

Gathering financial information on its citizens: the Foreign Account Tax Compliance Act, the Required Records Doctrine, Suspicious Activity Reporting and the erosion of privacy from a U.S. Perspective

Chair: Mr Ian M. Comisky, Partner, Blank Rome LLP, Philadelphia, PA, USA

Mr Robert S. Fink, Partner, Kostelanetz & Fink, LLP, New York, NY, USA

Mr Larry A. Campagna, Chamberlain, Hrdlicka, White, Williams, & Aughtry, Houston, TX, USA

Ms. Miriam Fisher, Partner Latham & Watkins, Washington, D.C., USA

Mr Kenneth W. Ravenell, Partner, Murphy, Falcon & Murphy, Baltimore, MD, USA

PLENARY WORKSHOP VI – 16:15 to 17:15

Real-time investigations in economic crime

Chair: Mr Mark Thompson, Head of Proceeds of Crime Unit, Serious Fraud Office of England, Wales and Northern Ireland

Mr Kevin Davis, Chief Investigator, Serious Fraud Office of England, Wales and Northern Ireland

Ms Clare Whittaker, Head of Fraud, Serious Fraud Office of England, Wales and Northern Ireland

Ms Jane de Lozey, Head of Fraud, Serious Fraud Office of England, Wales and Northern Ireland

PLENARY WORKSHOP VII – 16:15 to 17:15

Towards a universal risk based model for the prosecution of organised crime

Chair: Mr Trevor Bedeman, Partner, London Risk, UK

Dr Shima Keene, Senior Analyst and Consultant, Thames Valley Police and Director, Security Economics Programme, Institute of Statecraft, UK

Mr Harij Furlan, Higher State Prosecutor, The Specialized State Prosecution Office, Republic of Slovenia

Professor George Millard, Partner, Performance Global Risk Management and Security Consultants, Professor, Sao Paulo Police Academy, President, International Police Association (Brazil) and former Chief of Police and Director of Security, Sao Paulo, Brazil

Colonel Grant Newsham, former Executive Director, Corporate Security, Morgan Stanley (Japan) Securities

Mr Kenneth Murray, Head of Forensic Accountancy, Police Scotland, UK

Professor Fletcher Baldwin, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA

PLENARY WORKSHOP VIII – 16:15 to 17:15

Economic crime information gathering, sharing and utilization – perspectives from the developing world

Chair: Professor Dayanath Jayasuriya, President's Counsel, Former Chairman, Securities and Exchange Commission of Sri Lanka

Professor Barry A.K Rider, former Chief Commonwealth Fraud Officer and head of the Commonwealth Commercial Crime Unit, Commonwealth Secretariat and Professorial Fellow, Centre of Development Studies, University of Cambridge, UK

Dr Frank Madsen, Affiliated Lecturer, Centre for Development Studies, University of Cambridge and former senior official, General Secretariat, ICPO-Interpol

Mr Romesh Bhattacharji, Former Chief Commissioner of Customs and Narcotics Commissioner of India

Mr Kuvera de Zoysa Managing Partner, Gowers International Law firm, Colombo

Ms Pavithri Vithanage, Senior Assistant Controller of Exchange, Central Bank of Sri Lanka

Dr Martin Arias Duval, Director, Immigration National Office, Argentina

Dr Li Xuebin, Ministry of Justice, UK, Lecturer in Chinese Law, BPP University and former Senior Officer, Public Security Bureau, People's Republic of China

Mr Jyoti Trehan, Inspector General of Police (retired), Indian Police Service

Dr Rene Kersenti, President of the International Capital Market Association and formerly of the European Investment Bank and European Bank for Reconstruction and Development

WORKSHOP 1 – 17:30-18:30

Joining the Dots leveraging SAR and regulatory intelligence

Ms Helen Hatton, Managing Director, Sator Regulatory Consulting Limited and former Deputy Director, Jersey Financial Services Commission

Mr David Minty, Senior Regulatory Consultant, Sator Regulatory Consulting Limited and former Detective Chief Inspector, Jersey Constabulary

WORKSHOP 2 – 17:30-18:30

Following the money and other tactics for addressing cybercrime in the modern era

Mr Robert Barchiesi, President, International Anti-Counterfeiting Coalition, USA

<p align="center"><u>WORKSHOP 3 – 17:30-18:30</u></p> <p align="center">How to get companies and their actors to behave responsibly</p> <p>Mr Neil Jeans, Principal Consultant, AML Master and former Head, Group Financial Crime, National Australia Bank Limited, Australia</p> <p>Mr Robert Axelrod, Director, Forensic and Dispute Services, Deloitte Financial Advisory Services LLP, USA</p> <p>Mr Clark Abrams, Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor for the City of New York, USA</p>	<p align="center"><u>WORKSHOP 4 – 17:30-18:30</u></p> <p align="center">Barriers to recovery of stolen assets for underdeveloped and smaller jurisdictions</p> <p>Mr John Masters, Barrister, Blackburn Chambers, Australia and former Senior Crown Counsel, Attorney General’s Chambers, Cayman Islands</p> <p>Mr Sam Koim, Chairman, PNG Investigation Task-Force Sweep, Papua New Guinea</p> <p>Mr Kevin Stephenson, Executive Secretary, Egmont Group, Canada</p> <p>Mr Stuart Diamond, Diamond Law, Cayman Islands</p>
<p align="center"><u>WORKSHOP 5 – 17:30-18:30</u></p> <p align="center">Financial crime: controlling information risks within the confines of data privacy laws</p> <p>Mr Ian Mason, Head of Content Strategy, Legal UK and Ireland, Thomson Reuters and former Head of the Wholesale Group, Enforcement Division, Financial Services Authority, UK</p> <p>Ms Nicola McKilligan, Senior Privacy Officer, Thomson Reuters UK Legal</p> <p>Mr Arun Srivastava, Partner, Baker & McKenzie LLP, London, UK</p> <p>Mr Sanjay Bhandari, Partner, Fraud Investigations and Dispute Services, Ernst & Young LLP, UK</p>	<p align="center"><u>WORKSHOP 6 –17:30-18:30</u></p> <p align="center">Fighting economic crime in the modern world: old fashion due diligence is the only way to fly</p> <p>Mr G. Monty Rankin, Attorney at Law, Florida, USA</p> <p>Professor Fletcher Baldwin, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA</p> <p>Dr George Demetriades, Lecturer in Law, Neapolis University, Paphos, Cyprus and Advocate Partner Andreas Chr. Demetriades LLC</p> <p>Mr Colin Pickard, Director, Financial Crime Advisory, Ernst & Young LLP</p>
<p align="center"><u>WORKSHOP 7 –17:30-18:30</u></p> <p align="center">Initiatives to promote transparency and accountability of ownership of trust companies</p> <p>Mr Stuart McWilliam, Global Witness, UK</p>	<p align="center"><u>WORKSHOP 8 –17:30-18:30</u></p> <p align="center">Issues in practical compliance</p> <p>Mr Nick Andrews, Managing Director Mpac Group, UK</p> <p>Mr Philip Buckingham, Director, Mpac Group, UK</p> <p>Mr Tom Salmond, Director, Financial Crime Advisory, Ernst & Young LLP, UK</p>

19:00 **Cocktails and Dinner** generously hosted by **Ernst & Young LLP, UK**

After-dinner Addresses by **The Rt Hon Mr Oliver Heald QC MP**, former Solicitor General of England and Wales and **The Rt Hon Sir Alexander Lockwood Smith**, High Commissioner for New Zealand to the United Kingdom and former speaker of the House of Representatives and Associate Minister of Finance, New Zealand introduced by **Professor Peter Crisp**, Dean of Law and Chief Executive, BPP University, UK with a vote of thanks proposed by **Mr Patrick Craig**, Partner, Financial Services Advisory, Ernst & Young LLP, UK

Thursday, 4th September 2014

07:00

<p><u>Breakfast Meeting – Think Tank precursor – Corruption – prevention and control</u></p> <p>Convened by :</p> <p>Dr Peter German, Regional Deputy Commissioner, Correctional Service and former Deputy Commissioner and Director General, Financial Crime, Royal Canadian Mounted Police, Canada</p> <p>Professor Andrew Haynes, Director, Institute of Financial Law, University of Wolverhampton, UK</p> <p>Mrs Sanjay Andersen, Chief Inspector, City of London Police</p>

08:00 **Session V: Due Diligence – knowing too much to be good or too little to be useful**

Chair: **Ms Helen Hatton**, Managing Director, Sator Regulatory Consulting Ltd and former Deputy Director, Jersey Financial Services Commission

- **Mr Philip Rutledge**, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA
- **Mr Peter Gray**, Partner, Gibson Dunn and Crutcher LLP, Dubai,
- **Colonel Grant Newsham**, former Executive Director, Corporate Security, Morgan Stanley (Japan) Securities Ltd

- **Professor Jackie Harvey**, Professor of Financial Management and Director of Business Research, Newcastle Business School, Northumbria University
- **Mr Steven Toscher**, Partner, Hochman, Salkin, Rettig, Toscher & Perez, P.C. USA
- **Mr Michael Ashe QC SC9** Stone Buildings, London, Recorder of the Crown Court, England and Wales, Co-Chairman of the Symposium and Master of the Bench of the Middle Temple
- **Dr Marcelo Ruiz**, Senior Partner, Canguero Ruiz Abogados and former Minister Counsellor, Argentine Embassy, UK
- **Mr Rune Grundekjon**, Special Advisor and Controller, Finanstilsynet (Financial Services Authority), Norway
- **Dr Thomas Spies**, Counsel and Senior Manager, Regulatory Service Group, KPMG, Germany
- **Professor Wassim Shahin**, Dean and Professor of Business Economics, Lebanese American University, Lebanon
- **Mr James Mews**, Director, Finance Industry Development, Chief Minister's Department, States of Jersey
- **Dr Concepcion Verdugo**, Economist, International Monetary Fund, USA
- **Mr Rowan Bosworth-Davies**, Lecturer in Financial Crime, BPP University, former Metropolitan Police Fraud Squad Detective and Financial Expert attached to the United Nations Security Council with special reference to Liberia
- **Professor Yasunobu Sato**, Professor of Law and Director of the Human Security Programme, University of Tokyo, Japan
- **Dr Bian Jing**, Consultant and formerly of the Justice Department, People's Republic of China

PARALLEL PLENARY WORKSHOP IX

Promoting Integrity in the Middle East - The role of the private sector

Chair: Sir Kenneth Warren Consultant and former Chairman, Select Committee on Trade and Industry, House of Commons, UK

His Excellency Sheik Khalid bin Hamad Al-Thani, Qatar*

Professor Barry A.K Rider, Professorial Fellow, Centre of Development Studies, University of Cambridge, UK and former Consultant to the Islamic Financial Services Board and Asian Development Bank

Dr Lu'ayy Al-Rimawi, Programme Leader in Islamic Finance Law, BPP University, and Visiting Fellow, Harvard Law School, USA and Consultant, UK

PARALLEL PLENARY WORKSHOP IXa

Compliance risk in the Balkans and eastern Europe

Mr Mark Gough, Deputy Head, Compliance Investigation, Siemens AG, Germany

Ms. Oana Raluca Banateanu, Regional Compliance Officer, Siemens, Romania

10:30 Coffee

10:45 **Session VI: The management and use of information in AML**

Chair: **Lord Hacking of Chorley**, Barrister, Littleton Chambers, London

- **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA
- **Professor Jonathan Fisher QC**, Devereux Chambers, London, UK and Professor of Law, London School of Economics, University of London, UK
- **Mr Robin Dyer**, Head of Compliance, Bermuda Commercial Bank Ltd, Bermuda
- **Mr Martin Saunders**, Partner, Clifford Chance LLP, UK
- **Mr Henry Roszbacher**, Partner, The Roszbacher Firm, Los Angeles, USA
- **Ms Susan Galli**, Senior Vice President, AML Programme Director, HSBC North America Holdings Inc, USA
- **Ms Jill Wong**, Partner, Howse Williams Bowers, Hong Kong
- **Mr Alan Bacarese**, Special Counsel, Peters & Peters LLP, UK
- **Mr Neal Jeans**, Principal Consultant, AML Master and former Head, Group Financial Crime, National Australia Bank Limited, Australia
- **Mr Arthur Middlemiss**, Partner, Lewis, Baach, Kaufmann, and Middlemiss, former District Attorney, New York County District Attorney's Office, USA
- **Mr Olu Adaramewa**, Deputy Director, Banking and Payments System Department, Central Bank of Nigeria, Nigeria
- **Mr Patrick Craig**, Partner, Financial Services Advisory, Ernst & Young LLP, UK
- **Mr Jorge Ferro**, Senior Partner, Director of the Tax Division, Deloitte, Argentina
- **Mr Tony Wicks**, Director, Compliance Services, SWIFT, UK
- **Mr Serhan Göktürk**, Chief Auditor, Borsa Istanbul, Turkey
- **Professor Mary Vogel**, Chair in Criminal Law, School of Law, University of Manchester, UK

PARALLEL PLENARY WORKSHOP X

Deferred Prosecution Agreements

Chair: Ms Kristin Jones, Head of Strategic Relations, Serious Fraud Office of England, Wales and Northern Ireland
Ms Sue Patten, Head, Specialist Fraud Division, Crown Prosecution Service, UK
Mr Ben Morgan, Head of Bribery and Corruption, Serious Fraud Office of England, Wales and Northern Ireland
Mr Matthew Wagstaff, Head of Bribery and Corruption, Serious Fraud Office of England, Wales and Northern Ireland
Mr George Mills, Case Controller, Serious Fraud Office of England, Wales and Northern Ireland
Mr Anthony Smyth, Partner, Financial Crime Advisory, Ernst & Young LLP
Ms Elizabeth Robertson, Partner K&L Gates LLP
Mr Glenn Perachio, Partner, Fraud Investigation and Dispute Services, Ernst & Young LLP
Ms Polly Sprenger, Barrister, Red Lion Chambers, former Head of Strategic Intelligence, Serious Fraud Office
Ms Laura Atherton, Solicitor, K&L Gates, LLP

13:00 Lunch in Hall and Upper Hall, Jesus College

14:00 **Session VII: Sharing information and intelligence**

Chair: **Mr George Staple QC**, Consultant and former Partner, Clifford Chance LLP, Master of the Bench of the Inner Temple and former Director of the Serious Fraud Office of England, Wales and Northern Ireland

- **Mr Stefan Cassella**, Chief, Asset Forfeiture and Money Laundering Section, Office of the US Attorney, Baltimore, USA
- **Mr Christopher Pryde**, Director of Public Prosecutions and former Solicitor General, Fiji
- **Dr Peter German**, Regional Deputy Commissioner, Correctional Service and former Deputy Commissioner and Director General, Financial Crime, Royal Canadian Mounted Police, Canada
- **Mr Olufemi Ajayi**, Director-General, National Drug Law Enforcement Agency, Nigeria
- **Mr Jeffrey Bryant**, Crown Advocate, Proceeds of Crime Unit, Crown Prosecution Service for England and Wales
- **Ms Wendy Tien**, Deputy Assistant Director, Office of Review and Oversight, former Trial Attorney, Civil Division, US Department of Justice, Executive Office for the United States Trustees, USA
- **Mr John Unsworth**, Deputy Director and Head, Intelligence and Interventions, National Fraud Intelligence Bureau, UK
- **Mr John Masters**, Barrister, Blackburn Chambers, Australia and former Senior Crown Counsel, Attorney General's Chambers, Cayman Islands
- **Mr Barry Faudemer**, Director of Enforcement, Jersey Financial Services Commission
- **Mr John Howell**, Principal, John Howell & Co Ltd, UK
- **Mr James Springer**, AML/CTF Advisor, JPS Consulting, former Resident Financial Enforcement Advisor, Morocco, Namibia and Bulgaria, Office of Technical Assistance, US Department of Treasury, USA
- **Ms Claire P.Y. Chen**, Senior Investigator, Anti Money Laundering Division, Investigation Bureau, Ministry of Justice, Taiwan
- **Professor Maria Angeles Perez Cebadera**, Professor of Law, Jaume University, Spain
- **Dr Nurset Cetin**, Partner, LBF Partners, Turkey

PARALLEL PLENARY WORKSHOP XI

Global AML challenges - implementation, compliance and enforcement - from the regulatory and private sector perspective

Mr Clark Abrams, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA
Mr Patrick Wagner, Conseiller de direction 1ère classe, Commission de Surveillance du Secteur Financier, Luxembourg
Mr Michael Weis, Director, AML and Forensic Services PwC, Luxembourg
Mr Tom Salmond, Director Financial Crime Advisory, Ernst & Young LLP

16:00 Tea

PLENARY WORKSHOP XII – 16:15 to 17:15

Breaches in retail transaction systems: the U.S. experience

Professor Jim Fisher, Shaughnessy Fellow, Emerson Ethics Center, and Chair, Department of Marketing, John Cook School of Business, Saint Louis University, USA

Professor Muhammad Islam, Department of Economics, John Cook School of Business, Saint Louis University, USA

Professor Jim Gilsinan, Des Lee Endowed Collaborative Vision Professor and formerly Dean of the College of Professional Studies, Saint Louis University, USA

PLENARY WORKSHOP XIII– 16:15-17:15

Turning useful information into effective intelligence

Mr Grahame White, Head of European Operations, Association of Certified Anti-Money Laundering Specialists, UK

Mr Steve Rickard, Association of Certified Anti-Money Laundering Specialists, UK

PLENARY WORKSHOP XIV– 16:15-17:15

Sanctions and cross-debarment regimes of international financial institutions

Chair: Ms Jelena Madir, Principal Counsel, Office of the General Counsel, The European Bank for Reconstruction and Development

Mr Norbet Seiler, Deputy General Counsel, Office of the General Counsel, The European Bank for Reconstruction and Development

Dr Cheong-Ann Png, Office of Anti-Corruption and Integrity (OAI), Asian Development Bank, Manila, Philippines

Ms Elizabeth Lin Forder, Secretary to the Sanctions Board, World Bank, USA

Mr John Coogan, Head of Conflicts and Integrity, International Finance Corporation, Washington, USA

WORKSHOP 9 – 17:30-18:30

Islamic Finance – promoting integrity and stability

Mr Mubarak S. AL Mutawaa, Chairman, Mutawaa Law Firm in Kuwait. Partner, International Compliance Group, LLP (ICG), and former General Counsel for Kuwait Finance House (KFH)

Dr Lu'ayy Al-Rimawi, Programme Leader in Islamic Finance Law, BPP University, and Visiting Fellow, Harvard Law School, USA and Consultant, UK

Professor Siti Faridah, Associate Professor of Law, National University of Malaysia

Mr Mohamad Joseph Naffa, Partner, International Compliance Group LLP "ICG". Legal Counsel, Researcher at Washington College of Law USA and Institute of Advanced Legal Studies, University of London, UK

Dr Michael Reynolds, Honorary Research Fellow, University of Oxford and Senior Law Lecturer, GSM London, UK

WORKSHOP 10– 17:30-18:30

Public private partnerships in policing the markets

Professor Stuart Bazley, Barrister, Consultant and Professor in Financial Law, BPP University, UK

Mr Philip Rutledge, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA

Professor Chizu Nakajima, Co-Director of the Symposium, Professor of Corporate Law and Governance, Faculty of Business and Law, London Metropolitan University, Professor of Comparative Law, BPP University and former Director of the Centre for Financial Regulation and Crime, Cass Business School, City University

Dr Peter German, Regional Deputy Commissioner, Correctional Service, former Deputy Commissioner and Director General, Financial Crime, Royal Canadian Mounted Police, Canada

WORKSHOP 11 – 17:30-18:30

Fiduciary responsibility and stewardship

Mr Chris Stears, Researcher, Institute of Advanced Legal Studies, University of London and the Law Commission for England and Wales

Ms Tamara Goriely, Team Manager, Law Commission for England and Wales, UK

Professor Thomas Hurst, S.T. Dell Professor of Law, University of Florida, Levin College of Law, USA

Professor Johan Henning, Dean of the Faculty of Law, University of the Free State, Republic of South Africa

WORKSHOP 12 – 17:30-18:30

The role of the internet in human trafficking

Mr Glynn Rankin, Director of Rankin and Kinsella Associates, Independent Human Trafficking Experts and former Director, Legal Services, UK Human Trafficking Centre, UK Government

Dr Nancy Baldwin, Attorney at Law, Florida, USA

Mr Graham Ritchie Judge of the First Tier Tribunal, Solicitor, former Consultant to the Commonwealth Secretariat and former Head of IPTU, Institute of Advanced Legal Studies, University of London, UK

<p align="center"><u>WORKSHOP 13 – 17:30-18:30</u></p> <p align="center">Tipping-off - time for a change?</p> <p>Professor Andrew Campbell, Professor of International Banking and Finance Law, University of Leeds and Solicitor, UK Miss Elise Campbell, Researcher, University of Leeds, UK</p>	<p align="center"><u>WORKSHOP 14 – 17:30-18:30</u></p> <p align="center">Ethical compliance – avoiding the pitfalls</p> <p>Professor Jackie Harvey, Professor of Financial Management and Director of Business Research, Newcastle Business School, Northumbria University, UK Dr Wendy Mason Burdon, Researcher, Newcastle Business School, Northumbria University, UK</p>
<p align="center"><u>WORKSHOP 15 – 17:30-18:30</u></p> <p align="center">Specialised workshop organised by the Proceeds of Crime Lawyers Association (POCLA)</p> <p>Chair: Mr Satnam Tumani, Partner, Kirkland & Ellis International LLP Mr Jeffrey Bryant, Crown Advocate, Proceeds of Crime Unit, Crown Prosecution Service for England and Wales Mr Julian Glass, Managing Director, FTI Consulting, UK</p>	<p align="center"><u>WORKSHOP 16 – 17:30-18:30</u></p> <p align="center">Specialised workshop organised by TI on the prevention and control of corruption</p> <p align="center">Mr Robert Barrington, Executive Director, Transparency International UK</p>

19:00 **Cocktails** in the Marquee Generously hosted by **Deutsche Bank AG**
Dinner in Hall and Upper Hall, Jesus College Generously sponsored by **Koyo Holdings Inc** represented by **Mr Koichi Kawaji**, President of Koyo Holdings Inc and **Mrs Yoko Kawaji**

After-dinner Addresses by **The Hon Mr Seiji Kihara**, Senator and Deputy Minister of Foreign Affairs, Japan, **HE Mr Keiichi Hayashi**, Ambassador Extraordinary and Plenipotentiary of Japan to the Court of St James and **Sir David Wootton PC**, Alderman of the City of London, former Lord Mayor and Sheriff of the City of London and Partner, Allen and Overy, UK introduced by **Professor Chizu Nakajima**, Co-Director of the Symposium, Professor of Corporate Law and Governance, Faculty of Business and Law, London Metropolitan University, Professor of Comparative Law, BPP University, Affiliated Lecturer, Centre for development Studies, University of Cambridge and former Director of the Centre for Financial Regulation and Crime, Cass Business School, City University with a vote of thanks proposed by **Mr Philip Rutledge**, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA

Friday, 5th September 2014

07:00

<p><u>Breakfast Meeting – Think Tank precursor – Compliance and ethics</u></p> <p>Convened by:</p> <p>Professor Chizu Nakajima, Co-Director of the Symposium, Professor of Corporate Law and Governance, Faculty of Business and Law, London Metropolitan University, Professor of Comparative Law, BPP University and former Director of the Centre for Financial Regulation and Crime, Cass Business School, City University</p> <p>Professor Cindy Schipani, Professor of Business Law and Chair, Law, History and Communication, Stephen M. Ross School of Business, University of Michigan, USA</p> <p>Professor Virginia Maurer, Hubert Hurst Professor of Business Law and Legal Studies and Director, Poe Centre for Business Ethics, Warrington College of Business Administration, University of Florida, USA</p>

<p><u>Breakfast Meeting – Think Tank precursor – The impact of big data analytics on economic crime</u></p> <p>Convened by:</p> <p align="center">Mr Dave Porter, Head of Fraud Strategy, SAS Mr Trevor Bedeman, Partner, London Risk, UK</p>

08:00

Session VIII: Managing false information and in particular identity theft

Chair: **Mr Christopher Pryde**, Director of Public Prosecutions and former Solicitor General of Fiji

- **Mrs Rosalind Wright**, Chair, Fraud Advisory Panel, Member of the Supervisory Committee, European Anti-Fraud Office, Master of the Bench of the Middle Temple and former Director of the Serious Fraud Office of England, Wales and Northern Ireland
- **Mr Adam Kaufmann**, Partner, Lewis, Baach, Kaufmann and Middlemiss, former Executive Assistant District Attorney and Chief of the Investigation Divisions, New York County District Attorney's Office, USA
- **Mr Jeff Simser**, Legal Director, Ministry of the Attorney General, Canada
- **Dr Joachim Kaetzler**, Partner, CMS Hasche Sigle, Germany
- **Mr David Kirk**, Partner, McGuireWoods LLP and former Chief Criminal Counsel, Enforcement and

- Financial Crime, Financial Service Authority, UK
- **Mr Anthony Cheng Hung**, Superintendent, Commercial Crime Bureau, Hong Kong Police, Hong Kong
 - **Dr Shazeeda Ali**, former Technical Advisor and Assistant Attorney General, Jamaica and Lecturer in Law, University of the West Indies, Jamaica
 - **Mr Richard Parlour**, Principal, Financial Markets Law International, UK
 - **Professor George Millard**, Partner, Performance Global Risk Management and Security Consultants, Professor, Sao Paulo Police Academy, President, International Police Association (Brazil) and former Chief of Police and Director of Security, Sao Paulo, Brazil
 - **Mr Matthew Bradford**, T/Detective Chief Inspector, City of London Police
 - **Dr Ana Rita Duarte Campos**, Lawyer, Morais Leitão, Galvão Teles, Soares Da Silva, Soc. Advogados R.L Portugal
 - **Mr Mark Sutherland**, Barrister at Law, Joseph W.Y. Yse, SCs Chambers, Hong Kong

PARALLEL PLENARY WORKSHOP XV

**The corruption of financial markets and governments
(A first-hand account of life within the underworld – then and now)**

Mr Robert Mazur, President, Chase & Associates, *former underworld money launderer - U.S. federal agent embedded in drug cartels for 5 years, USA*

10:45 Coffee

11:00 **Session IX: The Snowden revelations: implications for law enforcement, international collaboration and privacy**

Chair: **Sir Kenneth Warren**, Consultant and former Chairman, Select Committee on Trade and Industry, House of Commons, UK

- **Professor Ross Anderson**, Professor of Security Engineering, University of Cambridge, UK
- **Ms Charlie McMurdi**, former Head of Metropolitan Police e-Crime Unit, UK
- **Mr Duncan Campbell**, Journalist, UK
- **Professor Peter Sommer**, Visiting Professor Cyber Security Centre, De Montfort University, UK
- **Mr Casper Bowden**, former Director, FIPR and Chief Privacy Officer EMEA, Microsoft,
- **Professor Douwe Korff**, Professor of International Law, London Metropolitan University, UK
- **Mr John Reading SC**, Head of Pacific Chambers and former Deputy Director of Public Prosecutions, Hong Kong SAR, China
- **Mr Chris Conroy**, Principal Deputy Chief, Major Crimes Bureau, New York County District Attorney's Office, USA

PARALLEL PLENARY WORKSHOP XVI

Conducting Internal Investigations

Chair: Ms Polly Sprenger, Barrister, Red Lion Chambers, former Head of Strategic Intelligence, Serious Fraud Office for England, Wales and Northern Ireland
Mr Mark Bryant-Heron QC, Barrister, 9-12 Bell Yard, London, UK
Ms Nichola Peters, Partner and Head, Corporate Crime and Investigations, Addleshaw Goddard LLP, London, UK
Mr Stephen Gentle, Partner, Crime, Fraud and Investigations Team, Simmons and Simmons, London, UK
Mr Paul Birch, Senior Manager, Forensic Technology team, BDO Stoy Hayward and former Head of Digital Forensics Unit, Serious Fraud Office, UK
Ms Gemma Rogers, Global Co-Head, Client Intelligence Group, HSBC, UK

13:00 Lunch in Hall and Upper Hall

14:00 **Session X: Intelligent investigations and disruption of crime**

Chair: **Mr David Johnston**, Head, The National Technical Assistance Centre (NTAC), UK and former Commander, Special Operations, Metropolitan Police, UK

- **Mr Tim Symington**, Director of Intelligence, National Crime Agency, UK
- **Ms Sue Patten**, Head, Specialist Fraud Division, Crown Prosecution Service, UK
- **The Hon Judge Antonio Balsamo**, Judge of the Court of Cassation, Italy and Member of the Scientific Committee of the Consiglio Superiore della Magistratura, Italy and former Judge of the Court of Assizes, Palermo, Sicily, Italy
- **Mr Ayotunde Ogunsakin**, Commissioner of Police, Special Fraud Unit, Nigeria
- **Mr Jyoti Trehan**, Inspector General of Police (retired), Indian Police Service
- **Mr Clark Abrams**, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA

- **Mr Nadim Kyriakos-Saad**, Senior Counsel, International Monetary Fund, USA
- **Ms Koko Ives**, Manager, BSA/AML Compliance Section, Board of Governors of the Federal Reserve System, USA
- **Mr Richard Summersgill**, Director of Criminal Investigations, HM Revenue and Customs, UK
- **Mr Eugene Corcoran**, Detective Chief Superintendent, Chief Bureau Office Criminal Assets Bureau An Garda Siochana, Ireland
- **Mr Philippe de Koster**, Deputy Director, Belgium Financial Intelligence Processing Unit CTIF-CFI, Belgium
- **Ms Maria Woodall**, Detective Superintendent, PIPCU, City of London Police
- **The Hon Mr Hassan Issa Sultan**, State Inspector General of Djibouti, Office of the State Inspector General, UEA
- **Dr Paul Catchick**, Senior Investigator ,Organization for Security and Cooperation in Europe (OSCE), Austria
- **Mr David Fitzpatrick**, Barrister, England and Wales and Hong Kong and former Senior Crown Counsel, Hong Kong SAR, China
- **Dr Anastasia Suhartati**, Lecturer, Criminal Law Department, Faculty of Law, University of Surabaya, Indonesia
- **Dr Shima Keene**, Senior Analyst and Consultant, Thames Valley Police and Director, Security Economics Programme, Institute of Statecraft, UK

PLENARY WORKSHOP XVII – 16:15 – 18:15

Controlling serious and organised crime in Italy

Chair: Professor Antonello Miranda, Professor of Comparative Law and Dean of the School of Social Sciences, University of Palermo, Italy

The Hon Dr Geri Ferrara, Counsel General of the Procuratore Nazionale Antimafia presso la Direzione Nazionale Antimafia (National Anti-Mafia Commission), Italy

The Hon Dr Fabio Licata, Judge at the Court of Palermo, Special Section for Anti-Mafia Preventive Measures and Professor of Criminal Law at the University of Palermo, Italy

Dr Carlo Alberto Giusti, Counsellor to the Secretary of State for Justice of the Republic of Italy

Professor Emanuele Nicosia, Assistant Professor in Criminal Law, University of Palermo, Italy

Dr Rita Duca, Assistant Professor in Comparative Law, University of Palermo, Italy

Dr Letizia Palumbo, Assistant Professor in Comparative Law, University of Palermo, Italy

Dr Emilio Mineo, Assistant Professor in Comparative Law, University of Palermo, Italy

Professor Emanuele Nicosia, Assistant Professor in Criminal Law, University of Palermo, Italy

16:00

Tea

PLENARY WORKSHOP XVIII -16:15-17:15

Intelligence the practical issues for law enforcement

Chair: Dr Nick Ridley, Senior Lecturer in Policing and Security, John Grieve Centre, London Metropolitan University and former Senior Analyst, Europol

Dr Ian Stanier, Co-ordinator, the Association of Chief Police Officers National Intelligence Model Working Group and editor ACPO National Intelligence Bulletin, UK

Mr Tomas Oliver, Royal Netherlands Army and the Hague University, Netherlands

Mr Richard Parlour, Principal, Financial Markets Law International, UK

Mr Rob McCusker, Director, Centre for Fraud and Financial Crime, Teesside University Business School

PLENARY WORKSHOP XIX -16:15-17:15

Amending UN drug treaties to allow member states self determination

The Hon Dr Guiseppe Lumia, Member of Italian Senate and former President of Italian Parliamentary Anti-Mafia Commission, Italy

Mr Rowan Bosworth-Davies, Lecturer in Financial Crime, BPP University, former Metropolitan Police Fraud Squad Detective, and Financial Expert attached to the United Nations Security Council with special reference to Liberia

Mr Tom Lloyd, former Chief Constable, Cambridgeshire Police, UK

Dr Domitilla Vanni di San Vincenzo, Lecturer in Comparative Law, University of Palermo, Italy

Mr Romesh Bhattacharji, Former Chief Commissioner of Customs and Narcotics Commissioner of India

PLENARY WORKSHOP XX – 16:15-17:15

Obtaining evidence and information from the Channel Islands – the practical issues

Chair: Mr Barry Faudemer, Director of Enforcement, Jersey Financial Services Commission
Mr Cyril Whelan, Crown Advocate and Commissioner Jersey Financial Services Commission
Mr Kevin Bown, Deputy Director, Intelligence Services, Guernsey Financial Services Commission
Mr Frederic Raffray, Crown Advocate, Guernsey

WORKSHOP 17 – 17:30-18:30

Have the intelligence agencies taken over from law enforcement? The implications for human and other rights!

Professor Barry A.K Rider, Professorial Fellow, Centre of Development Studies, University of Cambridge, UK
Mr Rowan Bosworth-Davies, Lecturer in Financial Crime, BPP University, former Metropolitan Police Fraud Squad Detective, and Financial Expert attached to the United Nations Security Council with special reference to Liberia
Professor William Tupman, Professor of Criminal Justice, BPP University and Research Fellow, University of Exeter
Mr Richard Parlour, Principal, Financial Markets Law International, UK

WORKSHOP 18 – 17:30-18:30

Insider dealing – a crime of our time!

Professor Andrew Campbell, Professor of International Banking and Finance Law, Solicitor, UK
Mr Philip Rutledge, Partner, Bybel and Rutledge LLP and former Chief Counsel, Pennsylvania Securities Commission, USA
Professor Stuart Bazley, Barrister, Consultant and Professor in Financial Law, BPP University, UK
Dr Rita Cheung, Assistant Professor, Faculty of Law, Chinese University of Hong Kong
Dr Richard Alexander, Lecturer in Financial Law, School of Oriental and African Studies, University of London, UK
Dr Wangwei Lin, Senior Lecturer in Law, School of Law, Kingston University, UK

WORKSHOP 19 – 17:30-18:30

Integrity at work in financial services

Mr George Littlejohn, Senior Adviser, Chartered Institute for Securities & Investment, UK

WORKSHOP 20 – 17:30-18:30

Latest trends in market misconduct cases in the alternative funds industry

Mr Julian Korek, Chief Executive Officer, Kinetic Partners LLP, UK

WORKSHOP 21 – 17:30-18:30

Economic Crime and National Security

Dr Frank Madsen, Von Hugel Institute, St. Edmund's College, Cambridge, Affiliated Lecturer, University of Cambridge, Adjunct Professor at Henley-Putnam University at Santa Clara, California, USA and former senior official, General Secretariat, ICPO-Interpol
Mr James Bergeron, Chief Political Advisor, Allied Maritime Command, North Atlantic Treaty Association and former Political Adviser to the Commander, Striking and Support Forces, NATO
Mr Neil Bennett, Consultant and formerly Metropolitan Police, UK

WORKSHOP 22 – 17:30-18:30

Shadow Economy and Tax Evasion in the EU: What do we (not) know?

Professor Konrad Raczkowski, Director of the Institute of Economics, University of Social Sciences, Poland
Professor Friedrich Schneider, Department of Economics, University of Linz, Austria
Professor Bogdan Mróz, Warsaw School of Economics, Poland

WORKSHOP 23 – 17:30-18:30

Will the combined pressures of US enforcement actions and regulatory burdens topple the dollar as the preeminent currency of world trade?

Mr Adam Kaufmann, Partner, Lewis, Baach, Kaufmann and Middlemiss, former Executive Assistant District Attorney and Chief of the Investigation Divisions, New York County District Attorney's Office, USA
Mr John Moscow, Partner, Baker & Hostetler, USA and former Assistant District Attorney, Office of the District Attorney of New York, USA

WORKSHOP 24 – 17:30-18:30

Case Study: West Africa: Capital flight, bribery and extortion, resources looting, terrorism and drugs trafficking? How are these being tackled and lessons transferred?

Mr Euan Grant, Grant and Gutsell Customs, Tax & Border Control Consultant, formerly of H.M. Customs and Excise UK

Dinner in Hall and Upper Hall, Jesus College

Addresses by **The Rt Hon Lord Justice Rupert Jackson PC**, Lord Justice of Appeal, England and Wales and Honorary Fellow of Jesus College, Cambridge and **Sir Kenneth Warren**, Consultant and former Chairman, Select Committee on Trade and Industry, House of Commons, UK introduced by **Ms Wendy Mead**, Member of the Common Council of the City of London and former Sheriff of the City of London with a vote of thanks proposed by **Mr Dominic Gibbs**, Chief Operating Officer, The Cayzer Trust Company Limited and the Institute of Advance Legal Studies, University of London

Saturday, 6th September 2014

Session XI: Protecting the sources of information

Chair: **His Honour Judge Michael Hopmeier**, Circuit Judge Kingston upon Thames Crown Court, Visiting Professor at City University London and Master of the Bench of the Middle Temple.

- **Ms Polly Greenberg**, Chief, Major Crimes Bureau, New York County District Attorney's Office, USA
- **Ms Helen Hatton**, Managing Director, Sator Regulatory Consulting Ltd and former Deputy Director, Jersey Financial Services Commission
- **Dr Rinita Sarker**, Manager, Economic Crime Command, National Crime Agency, UK
- **Dr Inez Dussuyer**, Research Fellow, Governance Research Programme, Victoria Law School, Victoria University and former Principal Investigation Officer, Ombudsman, Victoria, Australia
- **Mr Robert Mazur**, President, Chase and Associates, USA
- **Dr Nick Ridley**, Senior Lecturer, John Grieve Centre, London Metropolitan University and former Senior Analyst, Europol
- **Dr Anna Simonova**, Faculty of Law, University of Uppsala, Sweden
- **Ms Milimo Moyo**, Vice President, Compliance Testing and Training, Lloyds TSB, New York, USA
- **Mr Obot Udofia**, Assistant Director, AML/CFT Office, Financial Policy and Regulation Department, Central Bank of Nigeria
- **Mr Joseph Wheatley**, Trial Attorney, Organised Crime and Gangs Section, US Department of Justice, USA
- **Ms Suzanne Ffolkes Goldson**, Deputy Dean, Faculty of Law, University of the West Indies, Jamaica
- **Professor Stuart Bazley**, Barrister, Consultant and Professor in Financial Law, BPP University, UK
- **Mr Harry Adams**, Detective Sergeant, City of London Police, UK
- **Mr Donal Calhalne**, Barrister and Consultant, Ireland

Parallel Session XII: Corporate governance and corporate social responsibility – their role in promoting and protecting integrity

Chair: **Mr Jack Wigglesworth**, President, London Asia Capital and Director of Gresham College and former Chairman of London International Financial Futures and Options Exchange (LIFFE)

- **Professor Chizu Nakajima**, Co-Director of the Symposium, Professor of Corporate Law and Governance, Faculty of Business and Law, London Metropolitan University, Professor of Comparative Law, BPP University, Affiliated Lecturer, University of Cambridge and former Director of the Centre for Financial Regulation and Crime, Cass Business School, City University
- **Professor Virginia Maurer**, Hubert Hurst Professor of Business Law and Legal Studies and Director, Poe Center for Business Ethics, Warrington College of Business Administration, University of Florida, USA
- **Ms Catherine Pedamon**, Lecturer, School of Law, University of Westminster, London, UK, Director of the Franco British Lawyers Association and a member of the Paris and New York Bars
- **Professor John Maher**, former Dean of Dickinson Law School, University of Pennsylvania and Commissioner of the Pennsylvania Securities Commission and sometime non-executive Chairman of Atlantic Liberty Savings FA NYC and general counsel, Blount, Montgomery, Alabama, USA
- **Mr Al-Harith Idriss**, Co-ordinator, President's Office, Kuwait Investment Office, UK
- **Dr Shirley Quo**, Senior Lecturer, Law School, Faculty of Business and Law, Auckland University of Technology, New Zealand and Visiting Fellow, Centre of Development Studies, University of Cambridge
- **Professor Cindy Schipani**, Professor of Business Law and Chair, Law, History and Communication, Stephen M. Ross School of Business, University of Michigan, USA
- **Mr Richard Collins**, Head of Risk Management, Wood MacKenzie, UK
- **Professor Kiyem Tunca Caliyurt**, Faculty of Business Administration and Economics, Department of Accounting and Finance and Manager of Social Graduate School, Trakya University, Turkey
- **Dr Anastasia Suhartati**, Lecturer, Criminal Law Department, Faculty of Law, University of Surabaya, Indonesia
- **Dr Lez Rayman-Baccus**, Consultant and former Director, Centre for Corporate Responsibility, London Metropolitan University Business School, UK
- **Dr Wangwei Lin**, Senior Lecturer in Law, School of Law, Kingston University, UK
- **Mr Salim Al-Ali**, Researcher, Institute of Advanced Legal Studies, University of London, UK and Lecturer College of Law, University of the United Arab Emirates, Abu Dhabi

- **Dr Adri du Plessis**, Senior Lecturer, Faculty of Law, University of the Free State, Republic of South Africa

10:45 Coffee

11:00 **Session XIII: Reliability of information and relationship to evidence**

Chair: **Mr Michael Ashe QC SC**, 9 Stone Buildings, London, Recorder of the Crown Court, England and Wales, Co-Chairman of the Symposium and Master of the Bench of the Middle Temple

- **The Hon Dr Christopher Malcolm QC**, Attorney General, British Virgin Islands
- **The Hon Mr Howard Sharp QC**, Solicitor General, Guernsey
- **Mr Rory Field QC**, Director of Public Prosecutions, Bermuda and former Legal Advisor (Organised Crime), OECD and OSCE (Serbia)
- **Mr Yehuda Shaffer**, Deputy State Attorney (Financial Crime), Israel
- **Ms Polly Greenberg**, Chief, Major Crimes Bureau, New York County District Attorney's Office, USA
- **Ms Laura Ng**, Senior Public Prosecutor, Department of Justice, Hong Kong SAR, China
- **Mr Frederic Raffray**, Crown Advocate, Guernsey
- **Mr John Reading SC**, Head of Pacific Chambers and former Deputy Director of Public Prosecutions, Hong Kong SAR, China
- **Mr Khawar Qureshi QC**, Serle Court, London and McNair Chambers, Qatar
- **Mr Dave Copley**, Organised crime and money laundering investigator and formerly of the Serious Organised Crime Agency, UK
- **Mr Kevin Davis**, Chief Investigator, Serious Fraud Office of England, Wales and Northern Ireland
- **Mr Michael Weis**, Director, AML and Forensic Services PwC, Luxembourg
- **Mr Joseph Wheatley**, Trial Attorney, Organised Crime and Gangs Section, US Department of Justice
- **Dr Richard Alexander**, Lecturer in Financial Law, School of Oriental and African Studies, University of London, UK
- **Professor Janusz Bojarski**, Professor of Criminal Law and Criminal Policy, Nicolas Copernicus University, Poland
- **Dr Olatunde Julius Otusanya**, Senior Lecturer, Department of Accounting, Faculty of Business Administration, University of Lagos, Nigeria

PARALLEL PLENARY WORKSHOP XXI

The Whistleblower's Experience

Chair: Mr Ian Foxley, Chairman, Whistleblowers UK

Mr Martin Woods, MLRO, Thomson Reuters, UK

Mr Andrew Feinstein, Director, Corruption Watch UK

Ms Kim Holt, Founder, Patients UK

Mr Brian Little, Consultant, UK

Ms Vivienne Yarham, former Detective, Suffolk Constabulary, UK

Mr Paul Moore, Non-Executive Chairman, Assetz Capital, and formerly Head of Group Regulatory Risk, HBOS Plc, UK

Mr Peter Strickland, Consultant, UK

Ms Georgina Halford-Hall, Consultant, UK

Mr Paul Turner, Consultant, UK

Mrs Nikki Turner, Consultant, UK

13:00 Lunch in Hall and Upper Hall

14:00 **Session XIV: Public interest disclosure and bounties**

Chair: **Mr David Howarth**, Reader in Law and Economics, University of Cambridge, Fellow of Clare College and Associate Fellow, Centre for Science and Policy, Judge Business School, University of Cambridge and former Shadow Secretary of State for Justice for the Liberal Democrats, UK

- **Professor Michelle Gallant**, Associate Dean (Research and Graduate Studies) and Executive Director, Legal Research Institute, Faculty of Law, University of Manitoba, Canada
- **Mr David Szuchman**, Executive Assistant District Attorney and Chief of the Investigation Division, New York County District Attorney's Office, USA
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **Professor Johan Henning**, Dean of the Faculty of Law, University of the Free State, Republic of South Africa
- **Dr Cécile Ringgenberg**, Senior Partner, Ringgenberg & Schulthess, Geneva, Switzerland
- **Professor Maria Krambia-Kapardis**, Associate Professor of Accounting, Cyprus University of Technology
- **Dr Dmitry Gololobov**, Senior Partner, Gololobov & Co, London, UK
- **Dr Robert Vella Baldacchino**, Deputy General Manager, Malta Stock Exchange, Malta
- **Dr Alessandro Napolitano**, Legal Director, SACE S.P.A, Italy
- **Mrs Abimbola Izu**, Legal Adviser, Skye Bank Plc, Nigeria

- **Mr Robert Targ**, Partner, Diaz Reus & Targ LLP, Miami, USA

PARALLEL PLENARY WORKSHOP XXII

**Problems in defining police informants in a
trans-national setting**

Chair: Mr Mike Dixon, Researcher, Anglia Ruskin University, Cambridge and former Detective Inspector Metropolitan Police, London, UK

Professor William Tupman, Professor of Criminal Justice, BPP University and Research Fellow, University of Exeter

Dr Peter German, Regional Deputy Commissioner, Correctional Service and former Deputy Commissioner and Director General, Financial Crime, Royal Canadian Mounted Police, Canada

Mr David Fitzpatrick, Barrister, England and Wales and Hong Kong and former Senior Crown Counsel, Hong Kong SAR, China

Mr Clark Abrams, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA

Session XV: Information and Risk – what is in the crystal ball?

Chair: **Professor Barry A.K Rider**, Co-Chairman of the Symposium, Professorial Fellow, Centre of Development Studies, University of Cambridge, UK

- **Mr David Bickford**, Consultant and former Legal Advisor to the Secret Intelligence Service (MI6) and Secret Service (MI5), UK
- **Ms Rosalind Chong**, Head, Private Banking Compliance, Standard Chartered Bank, Singapore
- **Dr Kim-Kwang Raymond Choo**, Fulbright Scholar and Senior Lecturer, University of South Australia
- **Mr James Bergeron**, Chief Political Advisor, Allied Maritime Command, North Atlantic Treaty Association and former Political Adviser to the Commander, Striking and Support Forces, NATO
- **Mr Brian Donald**, Chief of Staff, Office of the Director, Europol, The Netherlands
- **Professor Andreas Kapardis**, Chairman and Professor of Criminology, Law Department, University of Cyprus
- **Dr Levente Kovacs**, Secretary General, Hungarian Banking Association, Hungary
- **Mr Keith Oliver**, Senior Partner, Peters and Peters, London, UK
- **Professor Fletcher Baldwin**, Chesterfield Smith Professor of Law and Director, Centre for International Financial Crimes Studies, University of Florida, USA
- **Dr Shailaja Fennell**, Lecturer and Assistant Director, Centre of Development Studies, University of Cambridge and Fellow and Graduate Tutor of Jesus College, Cambridge, UK
- **Mrs Svetla Konstantinova**, Attorney-at-Law, Sofia, Bulgaria and former Minister Counsellor, Bulgarian Embassy, London
- **Mr Nicholas Walmsley**, Director, Head of Compliance and Risk Culture Training, Deutsche Bank AG, London, UK
- **Professor Wei Yee Wan**, Associate Professor, Singapore Management University, Singapore
- **Professor Kiymet Tunca Caliyurt**, Faculty of Business Administration and Economics, Department of Accounting and Finance and Manager of Social Graduate School, Trakya University, Turkey
- **Professor Virginia Maurer**, Hubert Hurst Professor of Business Law and Legal Studies and Director, Poe Center for Business Ethics, Warrington College of Business Administration, University of Florida, USA
- **Ms Felicity Banks**, Head of Business Law, Institute of Chartered Accountants in England and Wales and member of HM Treasury's Money Laundering Advisory Committee, UK
- **Professor Cindy Schipani**, Professor of Business Law and Chair, Law, History and Communication, Stephen M. Ross School of Business, University of Michigan, USA
- **Mr Kola Awodein**, Senior Advocate, Nigeria
- **Mr Philip Robinson**, Consultant, formerly Director of Financial Crime and Intelligence, Financial Services Authority and Non-Executive Director, Supreme Court of the United Kingdom

16:00 Tea

PLENARY WORKSHOP XXIII – 16:15 to 17:15

Accountants: our contribution to the fight against crime

Ms Felicity Banks, Head of Business Law, Institute of Chartered Accountants in England and Wales and member of HM Treasury's Money Laundering Advisory Committee, UK

Ms Angela Foyle, Partner, BDO LLP, Money Laundering Reporting Officer and Head of Financial Services Tax, and chairman of the ICAEW Money Laundering Committee.

PLENARY WORKSHOP XXIV – 16:15 – 17:15

Is criminal justice fit for purpose in the field of economic crime?

Mr Kenneth Murray, Head of Forensic Accountancy, Police Scotland, UK
Ms Tessa Lorimer, Barrister, GSC Solicitors and former Crown Advocate, Crown Prosecution Service, UK
Dr Shima Keene, Senior Analyst and Consultant, Thames Valley Police and Director, Security Economics Programme, Institute of Statecraft, UK

PLENARY WORKSHOP XXV – 16:15 – 17:15

The right to silence and is it golden?

Chair: Mr David Porter, Partner, McCarthy Tetrault LLP, Canada
Ms Kathryn Arnot Drummond, Barrister, 25 Bedford Row, London, UK
Mr Simon Pentol, Barrister, 25 Bedford Row
Mr Saul M Froomkin QC, Symposium Chairman
Mr David Fitzpatrick, Barrister, England and Wales and Hong Kong and former Senior Crown Counsel, Hong Kong SAR, China

PLENARY WORKSHOP XXVI – 16:15 – 17:15

The role of institutions in capital flight, lobbying and tax evasion

Dr Odd-Helge Fjeldstad, Senior Researcher, Chr. Michelsen Institute, Norway
Professor Lise Rakner, Head of Institute and Professor of Comparative Politics, University of Bergen, Norway
Ms Alessandra Fontana, Advisor on Governance, Development Co-operation Directorate, Organisation for Economic Co-operation and Development (OECD), France
Mr Kjetil Hansen-Shino, Senior Public Sector Specialist, The World Bank, Washington, USA
Mr Francesco de Simone, Advisor, U4 Anti-Corruption Resource Centre, Chr. Michelsen Institute, Norway
Mr Jesper Johnson, Senior Advisor, U4 Anti-Corruption Resource Centre, Chr. Michelsen Institute, Norway and Centre for Development Studies, University of Cambridge

WORKSHOP 25 – 17:30-18:30

Offshore litigation – the practical issues

Mr Simon Dickson, Partner, Mourant Ozannes, Cayman Islands
Mr George Keightley, Senior Associate, Mourant Ozannes, Cayman Islands

WORKSHOP 26 – 17:30-18:30

Delivering effective criminal justice in developing states

Professor Barry A.K Rider, Professorial Fellow, Centre of Development Studies, University of Cambridge, UK
Dr Frank Madsen, Von Hugel Institute, St. Edmund's College, Cambridge, Affiliated Lecturer, Centre for Development Studies, University of Cambridge, Adjunct Professor at Henley-Putnam University at Santa Clara, California, USA and former senior official, General Secretariat, ICPO-Interpol
Professor Melvin Ayogu, Visiting Professor, American University of Sharjah, United Arab Emirates
Ms Ingrida Kerusauskaite, Researcher, Centre of Development Studies, University of Cambridge, UK

WORKSHOP 27 – 17:30-18:30

Trade based money laundering: Emerging issues for the business community

Mr Robert Targ, Partner, Diaz Reus & Targ LLP, Miami, USA
Mr Clark Abrams, Assistant District Attorney and Chief, Money Laundering and Financial Investigations Unit, Office of the Special Narcotics Prosecutor, City of New York, USA

WORKSHOP 28 – 17:30-18:30

The use and abuse of tax information

Professor Julian Hickey, Fellow of the Chartered Institute of Taxation, Solicitor and Barrister and Tax Director, BDO Ltd and Professor of Tax Law, BPP University, UK
Mrs Julie Zhuge Wilson, Partner, UHY Hacker Young LLP and China Fellow of the Institute of Chartered Accountants in England and Wales
Mr Tarrant Green, Fellow of the Institute of Chartered Accountants in England and Wales and Tax Consultant
Ms Shenshen Lin, Tax Advisor, Customs, Excise and International Trade, KPMG LLP, UK

<p align="center"><u>WORKSHOP 29 – 17:30-18:30</u></p> <p>Accountability of those in management and in particular directors for maintaining the integrity of their business</p> <p>Professor Andrew Haynes, Director, Institute of Financial Law, University of Wolverhampton, UK Dr Wangwei Lin, Senior Lecturer in Law, School of Law, Kingston University Professor Johan Henning, Dean of the Faculty of Law, University of the Free State, Republic of South Africa Professor Siti Faridah, Associate Professor of Law, National University of Malaysia Dr Richard Alexander, Lecturer in Financial Law, School of Oriental and African Studies, University of London, UK</p>	<p align="center"><u>WORKSHOP 30 – 17:30-18:30</u></p> <p>Criminalising reckless conduct in the management of banks and financial institutions</p> <p>Professor Dalvinder Singh, Professor of Law, School of Law, Warwick University, UK Dr Shirley Quo, Senior Lecturer, Law School, Faculty of Business and Law, Auckland University of Technology, New Zealand and Visiting Fellow, Centre of Development Studies, University of Cambridge Professor David Chaikin, Associate Professor of Law, University of Sydney Business School, Barrister, formerly of the Australian Federal Attorney-General’s Department and the Commonwealth Secretariat Professor Johan Henning, Dean of the Faculty of Law, University of the Free State, Republic of South Africa</p>
<p align="center"><u>WORKSHOP 31 – 17:30-18:30</u></p> <p>Whistleblower Protection – the practical issues</p> <p>Mr Ian Foxley, Chairman, Whistleblowers UK Mr Martin Woods, MLRO, Thomson Reuters, UK Mr Andrew Feinstein, Director, Corruption Watch UK Ms Kim Holt, Founder, Patients UK Mr Brian Little, Consultant, UK Ms Vivienne Yarham, former Detective, Suffolk Constabulary, UK Mr Peter Strickland, Consultant, UK Mr Paul Turner, Consultant, UK Mrs Nikki Turner, Consultant, UK</p>	<p align="center"><u>WORKSHOP 32 – 17:30-18:30</u></p> <p>Financial fraud against the elderly</p> <p>Dr Nancy Baldwin, Attorney at Law, Florida, USA Mr Alan Lambert, Magistrate, Consultant and former Head of Hertfordshire Police Financial Investigation Unit and Consultant to the Foreign and Commonwealth Office, UK</p>

19:00 **Cocktails** in the Marquee

Dinner in Hall and Upper Hall, Jesus College

After-dinner Addresses by **Professor Ian White**, The Master of Jesus College and Deputy Vice Chancellor of the University of Cambridge, Van Eck Professor of Engineering and Head of the Photonic Research Group, University of Cambridge, **The Rt Hon Sir John Mummery QC**, former Lord Justice of Appeal, England and Wales, President of the Intelligence Services Tribunal and the Investigating Powers Tribunal and Honorary Fellow of Pembroke College, Oxford, UK, **The Hon John Maher III**, Member and Speaker pro tempore, House of Representatives, Commonwealth of Pennsylvania and Vice Chairman, Centric Bank, USA and **Mr Saul Froomkin QC**, Chairman of the Symposium introduced by **Professor Johan Henning**, Dean of the Faculty, University of the Free State, Republic of South Africa with a vote of thanks proposed by **Professor Michael Waring**, Professorial Fellow, Jesus College, University of Cambridge

Sunday, 7th September 2014

8:00 **Participants may select attendance at one of the following think tanks convened under the auspices of organising institutions to deliberate on a confidential and informal basis an agenda that will have been settled in the precursor meetings. A report will be prepared and subsequently published in the Journal of Financial Crime. Attendance at these meetings is restricted.**

<p><u>Think Tank I on the impact of big data analytics on economic crime</u></p> <p>Co-Convenors:</p> <p>Mr Dave Porter, Head of Fraud Strategy, SAS, UK Mr Trevor Bedeman, Partner, London Risk, UK</p> <p>Rapporteurs:</p> <p>Ms Ingrida Kerusauskaite, Researcher, Centre of Development Studies, University of Cambridge, UK Mr Enzu Liu, Researcher, Institute of Advanced Legal Studies, University of London and formerly Shanghai Jiaotong University, People’s Republic of China Ms Kiran Virdee, Sanctions Associate, the Bank of Tokyo Mitsubishi UFJ, UK</p>

Think Tank II on organised crime

Co-Convenors:

Professor William Tupman, Professor of Criminal Justice, BPP University and Research Fellow, University of Exeter

Dr Frank Madsen, Von Hugel Institute, St. Edmund's College, Cambridge, Affiliated Lecturer, University of Cambridge, Adjunct Professor at Henley-Putnam University at Santa Clara, California, USA and former senior official, General Secretariat, ICPO-Interpol

Dr Shima Keene, Senior Analyst and Consultant, Thames Valley Police and Director, Security Economics Programme, Institute of Statecraft, UK

Rapporteurs:

Dr Richard Alexander, Lecturer in Financial Law, School of Oriental and African Studies, University of London, UK and Lecturer in Business and Securities Law, BPP University, UK

Dr Mary Young, Lecturer in Law, University of the West of England, UK

Mr David Timinipere, Fellow of the Institute of Chartered Accountants of Nigeria and researcher, Institute of Advanced Legal Studies, University of London

Think Tank III on governance, compliance and ethics

Co-Convenors:

Professor Chizu Nakajima, Co-Director of the Symposium, Professor of Corporate Law and Governance, Faculty of Business and Law, London Metropolitan University, Professor of Comparative Law, BPP University and former Director of the Centre for Financial Regulation and Crime, Cass Business School, City University

Professor Cindy Schipani, Professor of Business Law and Chair, Law, History and Communication, Stephen M. Ross School of Business, University of Michigan, USA

Professor Virginia Maurer, Hubert Hurst Professor of Business Law and Legal Studies and Director, Poe Center for Business Ethics, Warrington College of Business Administration, University of Florida, USA

Rapporteurs:

Professor Siti Faridah, Associate Professor of Law, National University of Malaysia

Ms Catherine Pedamon, Lecturer, School of Law, University of Westminster, London, UK, Director of the Franco British Lawyers Association and a member of the Paris and New York Bars

Dr Shirley Quo, Senior Lecturer, Law School, Faculty of Business and Law, Auckland University of Technology, New Zealand and Visiting Fellow, Centre of Development Studies, University of Cambridge

Think Tank IV on corruption prevention and control

Co-Convenors:

Dr Peter German, Regional Deputy Commissioner, Correctional Service and former Deputy Commissioner and Director General, Financial Crime, Royal Canadian Mounted Police, Canada

Mr Jesper Johnson, Anti-Corruption Advisor, U4 Anti-Corruption Resource Centre, Chr. Michelsen Institute, Norway and Centre for Development Studies, University of Cambridge

Mrs Sanjay Andersen, Chief Inspector, City of London Police, UK

Rapporteurs:

Ms Adelina Brad, Senior Political Officer, British Embassy Bucharest

Dr Adri du Plessis, Senior Lecturer, Faculty of Law, University of the Free State, Republic of South Africa

Mr Gilbert Crentsil, Researcher, Institute of Advanced Legal Studies, University of London, UK

Think Tank V on legal and regulatory risk

Co-Convenors:

Mr Richard Parlour, Principal, Financial Markets Law International, UK

Professor Johan Henning, Dean of the Faculty of Law, University of the Free State, Republic of South Africa

Professor Michelle Gallant, Associate Dean (Research and Graduate Studies) and Executive Director, Legal Research Institute, Faculty of Law, University of Manitoba, Canada

Rapporteurs:

Mr Chris Stears, Researcher, Institute of Advanced Legal Studies, University of London and the Law Commission for England and Wales and Solicitor

Ms Clair Drury, Barrister and Visiting Lecturer in Law, BPP University, UK

Mr Adeoye Adetunji, Solicitor and Advocate, Nigeria and Operations Manager, United Bank for Africa and researcher Institute of Advanced Legal Studies, University of London

Think Tank VI on Anti-corruption strategies and the promotion of integrity in the Middle East

Co-Convenors:

Dr Lu'ayy Al-Rimawi, Programme Leader in Islamic Finance Law, BPP University, and Visiting Fellow, Harvard Law School, USA and Consultant, UK

Mr Saul M Froomkin QC, Symposium Chairman

Professor Barry A.K Rider, Professorial Fellow, Centre of Development Studies, University of Cambridge, UK and former Consultant to the Islamic Financial Services Board and Asian Development Bank

Rapporteurs –

Mr Salim Al-Ali, Researcher, Institute of Advanced Legal Studies, University of London, UK and Lecturer, College of Law, University of the United Arab Emirates, Abu Dhabi

Mr Mohamad Naffa, Partner, International Compliance Group LLP "ICG". Legal Counsel, Researcher at Washington College of Law USA and Institute of Advanced Legal Studies, University of London, UK

Ms Sara Ashrafkashani, Attorney at Law and Legal Consultant in Iran and Researcher, Institute of Advanced Legal Studies, University of London, UK

Mr Ali Abdulrahim Ali, formerly Centre of Development Studies, University of Cambridge

Think Tank VII on Anti-corruption strategies and the promotion of integrity in China

Co-Convenors:

Ms Li-Hong Xing, Programme Director in Chinese Law and Lecturer in Law, BPP University, UK

Dr Wangwei Lin, Senior Lecturer in Law, School of Law, Kingston University, UK

Dr Li Xuebin, Probation Service, Ministry of Justice, UK Lecturer in Chinese Law, BPP University and former Public Security Bureau, People's Republic of China

Rapporteurs :

Mr Jacob Schumacher, Tutor and Researcher, School of Oriental and African Studies, University of London

Ms Shenshen Lin, Tax Advisor, Customs, Excise and International Trade, KPMG LLP and Researcher, Institute of Advanced Legal Studies, University of London, UK

Ms Ye Zhen, Jesus College, Cambridge and researcher, Centre of Development Studies, University of Cambridge

Think Tank VIII on the Re-opening of Iran for Business

Co-Convenors:

Dr Ahmad Azizi, Senior Adviser to the Governor of the Central Bank of Iran, former Deputy Chairman and Managing Director, Melli Bank and Deputy Minister for Economic Affairs, Ministry of Planning and Budget, Government of Iran

Dr Naser Shams Gharneh, Director of International Affairs and Fellow, School of Engineering and Management, Amirkabir University of Technology and Head of ACMT College, Iran

Mr Rouzbeh Pirouz, Senior Partner, Pelican Partners and founder and former CEO of Mondus, UK

Mr Sajjad Khoshroo, researcher at the University of Oxford and Senior Associate, White & Case (London), UK

Rapporteurs:

Mr Alireza Haji Hosseini, Journalist, CNN International and formerly Al Jazeera, London and Press TV, Tehran, Iran

Ms Ellie Geranmayeh, Visiting Fellow, Middle East and North Africa Programme, European Council on Foreign Relations, formerly of Herbert Smith Freehills LLP (London and Tokyo) and McClure Human Rights Fellow, University of Virginia

Ms Masoomeh Shams Gharneh, Research Fellow, British Institute of Securities Laws and legal and compliance consultant, UK

Informal Lunch hosted by **The Centre for International Documentation on Economic and Organised Crime**

The above programme is confirmed subject to where the contrary is indicated by an asterisk. Inevitably given the number of speakers and panellists there will be changes and a fully updated programme will be available on the website, www.crimesymposium.org

Workshops

Participation in the **non-plenary** workshops will be restricted so as to facilitate informal discussion. Registration for workshops will be on a first come basis. The convenors for each workshop are indicated in the programme, but discussion will be open to all those participating

Jesus College, Cambridge and the University of Cambridge

Jesus College was founded as a college, within the University of Cambridge, in 1496 by Bishop Alcock of Ely. The history of the College is, however, rather more ancient. An order of nuns occupied the site and buildings for at least two hundred and fifty years before this. Since the inception of the annual Cambridge Symposium, thirty-two years ago by Professor Barry Rider, the Master and Fellows of the College have been pleased to host the event and the College administers all financial matters pertaining to the programme.

The earliest record of the University of Cambridge is in 1209 so the University has recently celebrated its 800th anniversary. The University consisting of over 100 departments, faculties and schools is rated as the world's foremost research university. A number of the University's centres and programmes are involved in supporting the annual Cambridge Symposium on Economic Crime

The Centre for International Documentation on Economic and Organised Crime (CIDOEC)

CIDOEC was established as a non-profit making organisation and network in 1988 to promote collaborative and comparative research in the prevention and control of organised and economic crime. It is based in Cambridge, although it has branches in southern Africa, North America, Latin America and the Far East. The Chairman of CIDOEC's Advisory Board is Mr Saul M Froomkin QC, a former Attorney General of Bermuda.

The Institute of Advanced Legal Studies and the Society for Advanced Legal Studies

The IALS was established in 1947 and is a constituent member of the School for Advanced Study of the University of London. Although part of the University of London, the Institute's role is national, and is funded by government on this basis. Its library is the national law library and the Institute's research staff is concerned with promoting and conducting research across a broad spectrum of legal and related issues. The Institute's Advisory Council is chaired by The Rt Hon The Lord Hope of Craighead PC, Lord of Appeal in Ordinary and Justice of the Supreme Court of the United Kingdom.

The International Association of Anti-Corruption Authorities

The IAACA was established by resolution of a number of governments at a meeting hosted by the Prosecutor General of China in Beijing in October 2006. The primary objective of the IAACA is to facilitate the effective implementation of the UN Convention against Corruption and assist governments generally in fighting corruption and corruption related crime. The IAACA's Secretary General is Dr Ye Feng of the Supreme People's Procuratorate of China.

National Crime Agency

The NCA leads, supports and co-ordinates the response against serious and organised crime affecting the UK with a national and international reach and the mandate and powers to work in partnership with other law enforcement organisations. It incorporates a number of commands including the Economic Crime Command and the National Cyber Crime Unit. The commands are supported by a central Intelligence Hub. The Economic Crime Command is tasked with leading and co-ordinating the response to economic crime affecting the U.K. including amongst others: fraud; cyber-enabled fraud; bribery and corruption; intellectual property crime and market abuse

City of London Police

The City of London's Police are entrusted as the National Lead Force for fraud on behalf of the UK Police Service. They lead the police service fight against fraud and economic crime.

The Serious Fraud Office of England, Wales and Northern Ireland

The Serious Fraud Office is an independent government department, operating under the superintendence of the Attorney General. Its purpose is to protect society by investigating and, if appropriate, prosecuting those who commit serious or complex fraud, bribery and corruption and pursuing them and others for the proceeds of their crime. Its Director is David Green CB QC.

A complete list of all the organisations around the world supporting the annual Cambridge Symposium is to be found on page 2 of this programme

**For information on other CIDOEC programmes and
The Journal of Financial Crime and *The Journal of Money Laundering Control*
Please contact**

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**The 32nd Cambridge International Symposium on Economic
Crime**

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or visit
www.crimesymposium.org**